

# IN THE UNITED STATES

### PATENT AND TRADEMARK OFFICE

APPLICANT:

Timothy R. Bratton

**APPLICATION NO.:** 

09/505,486

FILING DATE:

February 16, 2000

TITLE:

AUDIO SYNTHESIS USING DIGITAL SAMPLING OF CODED

**WAVEFORMS** 

**CONFIRMATION NO:** 

5826

RECEIVED

**EXAMINER:** 

Firmin Backer

OCT 1 1 2002

**GROUP ART UNIT:** 

3621

**GROUP 3600** 

ATTY. DKT. NO.:

21685-06238 [6037-003]

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Robert A. Hulse, Reg. No. 48,473

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

## AMENDMENT A

Sir:

In response to the Office Action mailed June 28, 2002, please amend the application as

follows:

10/09/2002 TBESHAH1 00000107 09505486



362/4/

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO U.S. Department of Commerce Rev. 10/95 Patent and Trademark Office			Application Number	09/505 <b>,</b> 486					
			Filing Date	February 16, 2000					
TRANSMITTAL FORM			First Named Inventor	Timothy R. Bratton					
(to be used for all correspondence during pendency of filed application)			Group Art Unit Number	3621					
			Examiner Name	Firmin Backer					
Total Number of Pages in This Submission 23			Attorney Docket Number 21685-06238 [6037-003]						
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Attorney/Reg. No.:	Robert A. Hulse, Reg	. No. 48,473		Dated: September 30,2002					
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Typed or Printed N	ame: Robert A. Hulse			Dated: September 30,2002					
Express Mail Mailin	Express Mail Mailing Number (optional):								



ROUP 3600

PTO/SB/17 (10-01)(modified)
Approved for use through 09/29/01, OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce Rev. 10/2001

FEE TRANSMITTAL

Patent and Trademark Office

Complete if Kn wn Application Number 09/505,486 Filing Date February 16, 2000 Timothy R. Bratton First Named Inventor Group Art Unit 3621 **Examiner Name** Firmin Backer

#### TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$) 420.00 Attorney Docket Number 21685-06238 [6037-003] **METHOD OF PAYMENT** FEE CALCULATION (continued) 1. The Commissioner is hereby authorized to: 3. ADDITIONAL FEES **Small Entity** Large Entity Charge the indicated fees to the below Fee Due Fee Code/Fee Fee Code/Fee Fee Description mentioned deposit account. 105/\$130 205/\$65 Surcharge - late filing fee or oath ⊠ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments 127/\$50 227/\$25 Surcharge-late provisional filing fee or cover sheet to the below mentioned deposit account. 1 147/\$2,520 147/\$2,520 For filing a request for reexamination $\boxtimes$ Applicant claims small entity status 115/\$110 215/\$55 See 37 CFR 1.27 Extension for response within first month<sup>†</sup> 116/\$400 216/\$200 Extension for response within second month<sup>†</sup> Deposit Account Number: 19-2555 117/\$920 217/\$460 Extension for response within third month Deposit Account Name: FENWICK & WEST LLP 218/\$720 118/\$1,440 Extension for response within fourth month<sup>†</sup> A Duplicate Copy of this authorization is attached 128/\$1,960 228/\$980 Extension for response within fifth month<sup>†</sup> Payment Enclosed: 119/\$320 219/\$160 Notice of Appeal ☑ Check ☐ Credit Card □ Other FEE CALCULATION (fees effective 10/01/2001) 141/\$1,280 241/\$640 Petition to revive unintentionally abandoned 1. FILING FEE application Large Entity **Small Entity** Fee Fee Fee Fee Code/Fee Description Due 142/\$1,280 242/\$640 Utility Issue Fee (Or Reissue) Code/Fee 101/\$740 201/\$370 **Utility Filing** 143/\$460 243/\$230 Design Issue Fee 106/\$330 206/\$165 Design Filing 122/\$130 122/\$130 Petitions to the Commissioner 126/\$180 126/\$180 Submission of Information Disclosure Statement 108/\$740 208/\$370 Reissue 179/\$740 279/\$370 Request for Continued Examination (RCE) 114/\$160 214/\$80 Provisional 581/\$40 581/\$40 Filina Recording each patent assignment per property (times number of properties) SUBTOTAL (1) (\$) 0 146/\$740 246/\$370 Filing a submission after final rejection 2. CLAIMS (37 ČFR 1.129(a)) 149/\$740 Large Entity Small Entity 249/\$370 For each additional invention to be examined Fee Code/Fee Fee Code/Fee Fee Description (37 CFR 1.129(b)) `∮103/**\$1**8 203/\$9 Claims in excess of 20 Other fee (specify): 102/\$84 202/\$42 Independent claims in excess of 3 Other fee (specify): 104/\$280 204/\$140 Multiple dependent claim **SUBTOTAL (3)** (\$) 0 109/\$84 209/\$42 Reissue independent (Col. 1) (Col. 2) (Col. 3) Highest No. Previously claims over original patent No. of Extra\*\* For Existing Fee Due 110/\$18 210/\$9 Reissue claims in excess Paid For Claims of 20 and over original TOTAL 20 21 = 28 9 252 49 = minus\* or X patent INDEP minus\* or 4 42 = 168 ] First presentation of multiple dependent claim Subtract the greater number of Col. 2 SUBTOTAL (2) (\$) 420 \*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name	Robert A. Hulse		Reg. Number	48,473	
Signature	(Colot A. H	-la	Date	September 30 , 2002	